### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: CATABAY et al.

Attorney Docket No.: 01-471/1D / LSI1P172D1

Application No.: Filed Herewith

Examiner: Unassigned

Filed: Herewith

Group: Unassigned

Title: MULTI-STEP PROCESS FOR FORMING A BARRIER FILM FOR USE IN COPPER LAYER

**FORMATION** 

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this paper and the documents and/or fees referred to as attached therein are being deposited with the United States Postal Service on February 3, 2004 in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR §1.10, Mailing Label Number ER562285933US, addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Sue Funchess

## INFORMATION DISCLOSURE STATEMENT 37 CFR §§1.56 AND 1.97(b)

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

The references listed in the attached PTO Form 1449 may be material to examination of the above-identified patent application. Applicants submit the list of these references in compliance with their duty of disclosure pursuant to 37 CFR §§1.56 and 1.97. The Examiner is requested to make these references of official record in this application. The above-identified application is a divisional application of prior application U.S. Patent Application No. 10/035,704. This prior application is being relied upon for an earlier filing date under 35 U.S.C. § 120. Because the listed references were either cited by the PTO, or submitted to the PTO in the prior application, under 37 CFR § 1.98(d) Applicants submit that copies need not be provided.

This Information Disclosure Statement is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that these references indeed constitute prior art.

This Information Disclosure Statement is: (i) filed within three (3) months of the filing date of the above-referenced application, (ii) believed to be filed before the mailing date of a first Office Action on the merits, or (iii) believed to be filed before the mailing of a first Office Action after the filing of a Request for Continued Examination under §1.114. Accordingly, it is believed that no fees are due in connection with the filing of this Information Disclosure Statement. However, if it is determined that any fees are due, the Commissioner is hereby authorized to charge such fees to Deposit Account 12-2252 (Order No. 01-471/1D).

Respectfully submitted, BEYER WEAVER & THOMAS, LLP

Francis T. Kalinski II Registration No. 44,177

P.O. Box 778 Berkeley, CA 94704-0778

# Form 1449 (Modified) Atty Docket No. Application No.: 01-471/1D / LSI1P172D1 Filed Herewith Applicant: CATABAY et al. Filing Date Group (Use Several Sheets if Necessary) Herewith Unassigned

#### **U.S. Patent Documents**

| Examiner  |      |              |          |                  |       | Sub-  | Filing   |
|-----------|------|--------------|----------|------------------|-------|-------|----------|
| Initial % | . No | . Patent No. | Date     | Patentee         | Class | class | Date     |
|           | A    | 5,925,415    | 07/20/99 | Fry et al.       | 427   | 304   | 03/09/98 |
|           | В    | 6,010,962    | 01/04/00 | Liu et al.       | 438   | 687   | 02/12/99 |
|           | С    | 6,015,749    | 01/18/00 | Liu et al.       | 438   | 628   | 05/04/98 |
|           | D    | 6,022,808    | 02/08/00 | Nogami et al.    | 438   | 694   | 03/16/98 |
|           | Е    | 6,037,258    | 03/14/00 | Liu et al.       | 438   | 687   | 05/07/99 |
|           | F    | 6,042,889    | 03/28/00 | Ballard et al.   | 427   | 305   | 02/28/94 |
|           | G    | 6,066,892    | 05/23/00 | Ding et al.      | 257   | 751   | 05/14/98 |
|           | Н    | 6,162,727    | 12/19/00 | Schonauer et al. | 438   | 687   | 11/25/98 |
|           | I    | 6,235,406    | 05/22/01 | Uzoh             | 428   | 620   | 07/13/00 |

Foreign Patent or Published Foreign Patent Application

|          | _   | 01 01611 1 1110111 | 01 1 4001101104 | 1 01 018 1 1 11 11 11 | P-1-0-11-1 |       |       |         |
|----------|-----|--------------------|-----------------|-----------------------|------------|-------|-------|---------|
| Examiner |     | Document           | Publication     | Country or            |            | Sub-  | Trans | slation |
| Initial  | No. | No.                | Date            | Patent Office         | Class      | class | Yes   | No      |
|          | J   |                    |                 |                       |            |       |       |         |
| P)       | K   |                    |                 |                       |            |       |       |         |
|          | L   |                    |                 |                       |            |       |       |         |
|          | M   |                    |                 |                       |            |       |       |         |
|          | N   |                    |                 |                       |            |       |       |         |

#### **Other Documents**

| Examiner |     |                                                                                                                                                           |  |  |  |
|----------|-----|-----------------------------------------------------------------------------------------------------------------------------------------------------------|--|--|--|
| Initial  | No. | Author, Title, Date, Place (e.g. Journal) of Publication                                                                                                  |  |  |  |
|          | 0   | Wolfe, et al., Silicon Processing for the VLSI Era, Vol. 1 – Process Technology, 2 <sup>nd</sup> Ed., Lattice Press: Sunset Beach, CA, 2000, pp. 791-795. |  |  |  |
|          | P   | J.S. Patent Application No. 10/035,705, "Electroless Deposition of Copper to Form Copper Interconnect Structures", filed 10/18/01.                        |  |  |  |
|          | Q   |                                                                                                                                                           |  |  |  |
| Examiner | •   | Date Considered                                                                                                                                           |  |  |  |

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

| Form 1449 (Modified)              | Atty Docket No.        | Application No.: |
|-----------------------------------|------------------------|------------------|
| · ·                               | 01-471/1D / LSI1P172D1 | Filed Herewith   |
| Information Disclosure            | Applicant:             |                  |
| Statement By Applicant            | CATABAY et al.         |                  |
| ,                                 | Filing Date            | Group            |
| (Use Several Sheets if Necessary) | Herewith               | Unassigned       |

#### **U.S. Patent Documents**

| Examiner |     |             |          |                   |       | Sub-  | Filing   |
|----------|-----|-------------|----------|-------------------|-------|-------|----------|
| Initial  | No. | Patent No.  | Date     | Patentee          | Class | class | Date     |
|          | AA  | 6,255,192B1 | 07/03/01 | Dornisch          | 438   | 430   | 09/09/98 |
|          | BB  | 6,268,291B1 | 07/31/01 | Andricacos et al. | 438   | 694   | 12/03/98 |
|          | CC  | 6,287,968B1 | 09/11/01 | Yu et al.         | 438   | 675   | 01/04/99 |
|          | DD  | 6,297,158B1 | 10/02/01 | Liu et al.        | 438   | 687   | 05/31/00 |
|          | EE  | 6,328,871B1 | 12/11/01 | Ding et al.       | 205   | 183   | 08/16/99 |
|          | FF  | 6,368,967B1 | 04/09/02 | Besser            | 438   | 687   | 05/04/00 |
|          | GG  | 6,376,370B1 | 04/23/02 | Farrar            | 438   | 678   | 01/18/00 |
|          | НН  | 6,391,777B1 | 05/21/02 | Chen et al.       | 438   | 687   | 05/02/01 |
|          | II  | 6,429,121B1 | 08/06/02 | Hopper et al.     | 438   | 686   | 02/07/01 |

Foreign Patent or Published Foreign Patent Application

| Examiner |     | Document | Publication | Country or    |       | Sub-  | Trans | slation |
|----------|-----|----------|-------------|---------------|-------|-------|-------|---------|
| Initial  | No. | No.      | Date        | Patent Office | Class | class | Yes   | No      |
|          | JJ  |          |             |               |       |       |       |         |
|          | KK  |          |             |               |       |       |       |         |
|          | LL  |          |             |               |       |       |       |         |
|          | MM  |          |             |               |       |       |       |         |
|          | NN  |          |             |               |       |       |       |         |

#### **Other Documents**

| Examiner |     |                                                          |
|----------|-----|----------------------------------------------------------|
| Initial  | No. | Author, Title, Date, Place (e.g. Journal) of Publication |
|          | 00  |                                                          |
|          | PP  |                                                          |
|          | QQ  |                                                          |
| Examiner | J   | Date Considered                                          |

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

| Form 1449 (Modified)              | Atty Docket No.        | Application No.: |
|-----------------------------------|------------------------|------------------|
|                                   | 01-471/1D / LSI1P172D1 | Filed Herewith   |
| Information Disclosure            | Applicant:             |                  |
| Statement By Applicant            | CATABAY et al.         |                  |
|                                   | Filing Date            | Group '          |
| (Use Several Sheets if Necessary) | Herewith               | Unassigned       |

**U.S. Patent Documents** 

|          | C.S. I atom Documents |             |          |                 |       |       |          |  |
|----------|-----------------------|-------------|----------|-----------------|-------|-------|----------|--|
| Examiner |                       |             |          |                 |       | Sub-  | Filing   |  |
| Initial  | No.                   | Patent No.  | Date     | Patentee        | Class | class | Date     |  |
|          | AAA                   | 6,440,849B1 | 08/27/02 | Merchant et al. | 438   | 658   | 10/18/99 |  |
|          | BBB                   | 6,445,070B1 | 09/03/02 | Wang et al.     | 257   | 751   | 01/29/01 |  |
|          | CCC                   | 6,461,675B2 | 10/08/02 | Paranjpe et al. | 427   | 250   | 07/10/98 |  |
|          | DDD                   | 6,465,376B2 | 10/15/02 | Uzoh et al.     | 438   | 927   | 08/18/99 |  |
|          | EEE                   |             |          |                 |       |       |          |  |
|          | FFF                   |             |          |                 |       |       |          |  |
|          | GGG                   |             |          |                 |       |       |          |  |
|          | ННН                   |             |          |                 |       |       |          |  |
|          | III                   |             |          |                 |       |       |          |  |

Foreign Patent or Published Foreign Patent Application

| Examiner |     | Document | Publication | Country or    |       | Sub-  | Trans | slation |
|----------|-----|----------|-------------|---------------|-------|-------|-------|---------|
| Initial  | No. | No.      | Date        | Patent Office | Class | class | Yes   | No      |
|          | JJJ |          |             |               |       |       |       |         |
| '        | KKK |          |             |               |       |       |       |         |
|          | LLL |          |             |               |       |       |       |         |
|          | MMM |          |             |               |       |       |       |         |
|          | NNN |          |             |               |       |       |       |         |

#### **Other Documents**

| Examiner |     |                                                          |
|----------|-----|----------------------------------------------------------|
| Initial  | No. | Author, Title, Date, Place (e.g. Journal) of Publication |
|          | 000 |                                                          |
|          | PPP |                                                          |
|          | QQQ |                                                          |
| Examiner |     | Date Considered                                          |

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.